

**LAP13 Rec'd PCT/PTO 30 NOV 2006**

Serial No. 10/538,461  
60469-215; OT-5043A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:                      Rojahn                                      Group Art Unit:              Unknown  
Serial Number:              10/538,461                                      Examiner:                      Unknown  
Filed:                              June 10, 2005  
Title:                              STEPCCHAIN LINK FOR A PASSENGER CONVEYOR SYSTEM

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371**  
**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Mail Stop-PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Sir:

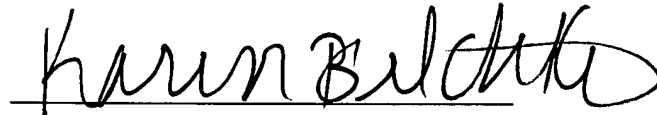
In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office dated September 14, 2006, Applicant is submitting herewith a copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office as requested. Enclosed please find PCT form PCT/IB/306 for a Notification of the Recording of a Change adding Andreas Stuffel as an inventor.

Applicant already paid the \$130.00 fee with the new declaration filed November 17, 2006. No additional fees are due. If any additional fees are due, however, the Commissioner is authorized to charge Deposit Account No. 50-1482, in the name of Carlson, Gaskey & Olds, P.C., for any additional fees or credit the account for any overpayment. Therefore, favorable reconsideration and allowance of this application is respectfully requested.

12/04/2006 MKAYPAGH 00000036 501482 10538461  
01 FC:1617 130.00 DA

Respectfully submitted,

**Carlson, Gaskey & Olds, P.C.**

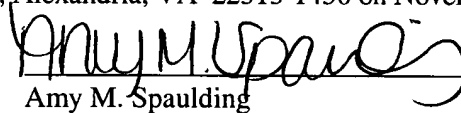


Karin H. Butchko  
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Dated: November 28, 2006

**CERTIFICATE OF MAIL**

I hereby certify that the enclosed Missing Requirements Response is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Mail Stop – PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 28, 2006.



Amy M. Spaulding

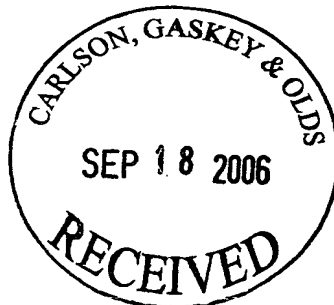


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/538,461 ✓	FIRST NAMED APPLICANT Heike ENGEL ROJAHN	ATTY. DOCKET NO. 60469-215; OT-5043A <b>KAB</b>
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INTERNATIONAL APPLICATION NO. PCT/US03/03801	
I.A. FILING DATE 02/07/2003	PRIORITY DATE

CONFIRMATION NO. 3912  
371 FORMALITIES LETTER



\*OC000000020416938\*

Date Mailed: 09/14/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/10/2005
- Copy of the International Search Report filed on 06/10/2005
- Copy of IPE Report filed on 06/10/2005
- Information Disclosure Statements filed on 06/10/2005
- U.S. Basic National Fees filed on 06/10/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,**

**WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/538,461	PCT/US03/03801	60469-215; OT-5043A

FORM PCT/DO/EO/905 (371 Formalities Notice)